



Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))

[X] Pursuant to 37 C.F.R. § 1.8(a), I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231

[] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner _____ at _____ a.m./p.m. at Facsimile No. _____

Dated: November 7, 2001

Name of Person Certifying _____
Printed Name: Nancy Hine

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul et al.
Filing Date: August 29, 2001
Serial No.: 09/942,884
Title: Electroosmotic Flow Controller

Assignee: Eksigent Technologies LLC
Examiner: Not Assigned
Group Art Unit: Not Assigned

BOX MISSING PARTS
Assistant Commissioner for Patents
Washington, D.C. 20231

Submission of Missing Parts of Application Under 37 C.F.R. 1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on October 3, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration and Power of Attorney [2] pages
Payment for surcharge for late filing ☒ \$65.00 *small entity* ☐ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. (_ months), [] page(s) Fee \$ _____
- ☐ Applicants claim Small Entity Status under 37 CFR § 1.27.
- ☐ Copy of Notice of Missing Parts of Application dated October 3, 2001.
- ☐ Request for Refund under 37 CFR 1.28(a) for the overpayment of the filing fee and surcharge.
- ☐ Return Postcard.
- ☐ Other: Assignment (2 pages); Recordation Cover Sheet (2 pages, Orig. + Dupl.); Certificate Under 3.73(b) (1 page); and Power of Attorney by Assignee and Exclusion of Inventor Under 37 CFR 3.71 (2 pages). Payment for recordation \$40.00.

TOTAL FEES: \$105.00

- ☒ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ Check in the amount of \$_____, Check No. _____ to cover the Total Fees due is enclosed.
- ☐ Please charge the above fee(s) to Deposit Account No. 50-1193, Docket No. 22826-7002, in the amount of \$105.00 to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☐ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1193, Docket No. 22826-7002.
A duplicate copy of this sheet is enclosed.

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: November 7, 2001

Respectfully submitted,

By: Michael J. Shuster
Michael J. Shuster, Ph.D.
Registration No. 41,310

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Three Embarcadero Center
San Francisco, California 94111
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Attorney Docket No. 22826-7002
Client Ref. No. EK004

CERTIFICATE UNDER 37 C.F.R. § 3.73(b)

Applicants: Phillip H. Paul et al.

Application No.: 09/942,884

Filed: August 29, 2001

For: Electroosmotic Flow Controller

Eksigent Technologies LLC, a California Corporation

(Name of Assignee, type of Assignee, (corporation, partnership, university, government agency, etc.))

certifies that it is assignee of the patent application identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame(s) _____, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application identified above, to the current assignee as shown below:

1. From: _____ To: _____;
The document was recorded in the Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to act on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date: Oct 30 2001

Name: Don W. Arnold

Title: President

Signature: [Signature]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20503

APPLICATION NUMBER	FILING DATE	INVENTOR NAME	ATTORNEY NAME
09 942,884	08 29 2001	Philip H. Pan	22826-0001

CONFIRMATION NO. 9825

FORMALITIES LETTER



0000000006837592

McCutchen, Doyle, Brown & Enersen, LLP
Three Embarcadero Center, 28th Floor
San Francisco, CA 94111

Date Mailed: 10 03 2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(!) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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